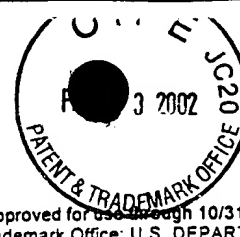


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1712 #5

PTO/SB/21 (08-00)  
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/976,221	
	Filing Date	October 12, 2001	
	First Named Inventor	Ulrich Zimmermann	
	Group Art Unit	1712	
	Examiner Name	Not yet assigned	
Total Number of Pages in This Submission	21	Attorney Docket Number	414P037Div.

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -Copy of Notice to file corrected application papers dated 11/28/01 -Substitute Specification (18-Pages).
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
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<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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Firm or Individual name	Kevin S. Lemack Niels & Lemack
Signature	
Date	December 14, 2001

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>Dec. 14, 2001</u>			
Typed or printed name	Kevin S. Lemack	Date	December 14, 2001
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ulrich Zimmermann  
Serial No. : 09/976,221  
Filed : October 12, 2001  
For : **CROSSLINKING IONOTROPIC GELS**  
Examiner : Not yet assigned  
Art Unit : 1712  
Attorney  
Docket No. : 414P037Div.

Assistant Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Attention: Box Missing Parts

Sir:

The "Notice to File Corrected Application Papers - Filing Date Granted" dated November 28, 2001 (copy of which is attached hereto for the convenience of the Application Branch) has been received. In response thereto there are filed herewith the following items:


1. Substitute Specification (18-Sheets).

The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account **14-0930**. A duplicate copy of this sheet is attached.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington D.C. 20231, on **December 14, 2001**

Signature: **Kevin S. Lemack**  
Date: **December 14, 2001**

Respectfully submitted,

  
**Kevin S. Lemack**  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,221	10/12/2001	Ulrich Zimmermann	414P037Div.

CONFIRMATION NO. 9837

## FORMALITIES LETTER



\*OC000000007130824\*

Kevin S. Lemack  
Niels & Lemack  
176 E. Main Street  
Westboro, MA 01581

Date Mailed: 11/28/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice MUST be returned with the reply.*

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